

Asthma in Children: Risk Factors

Viral

Robert F. Lemanske, Jr., M.D.

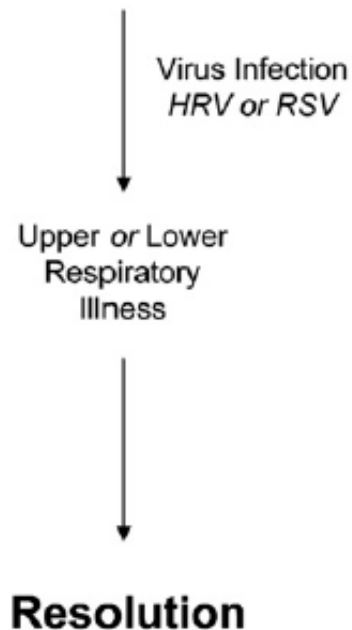
Professor of Pediatrics and Medicine

University of Wisconsin



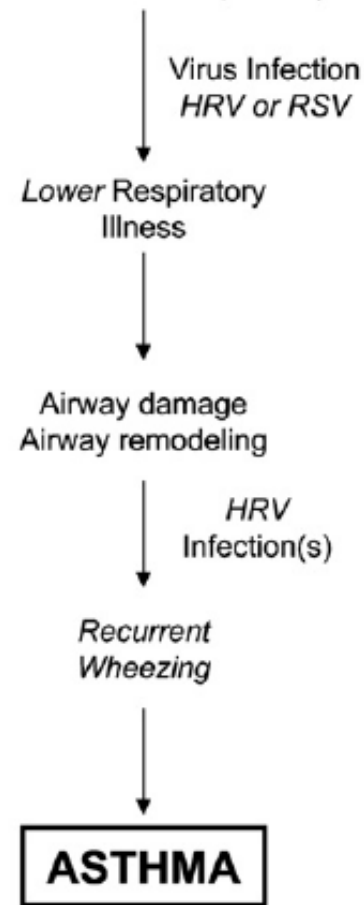
Madison, WI

“Healthy” Infant or Young Child



“Predisposed” Infant or Young Child

- Underlying allergic inflammation
- Impaired epithelial barrier
- Impaired anti-viral response (interferons)



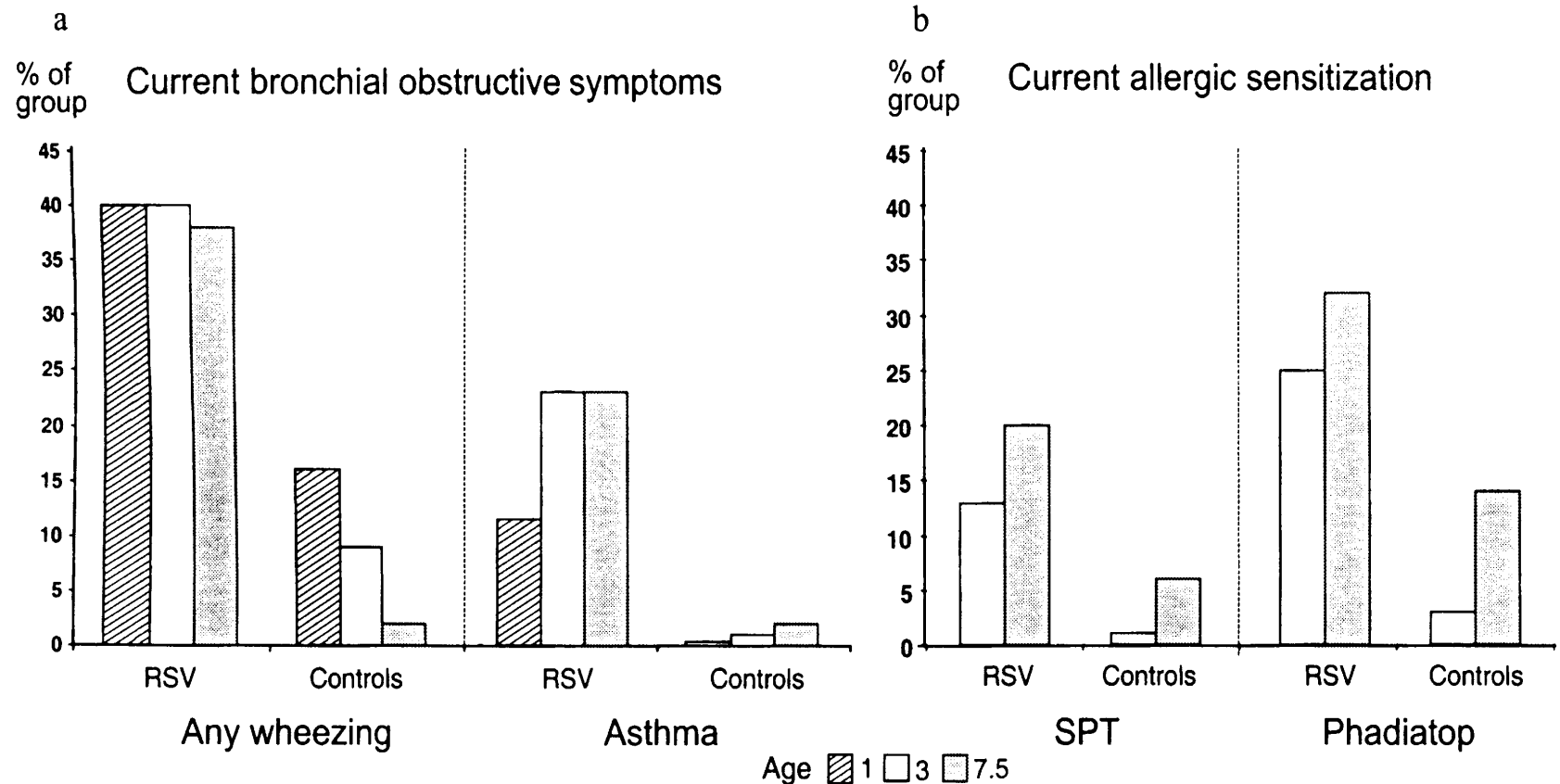
Viral Infections and Asthma

20% of all children have at least 1 episode of LRI associated with wheezing in the first year of life, and 70% of these are associated with viral infections

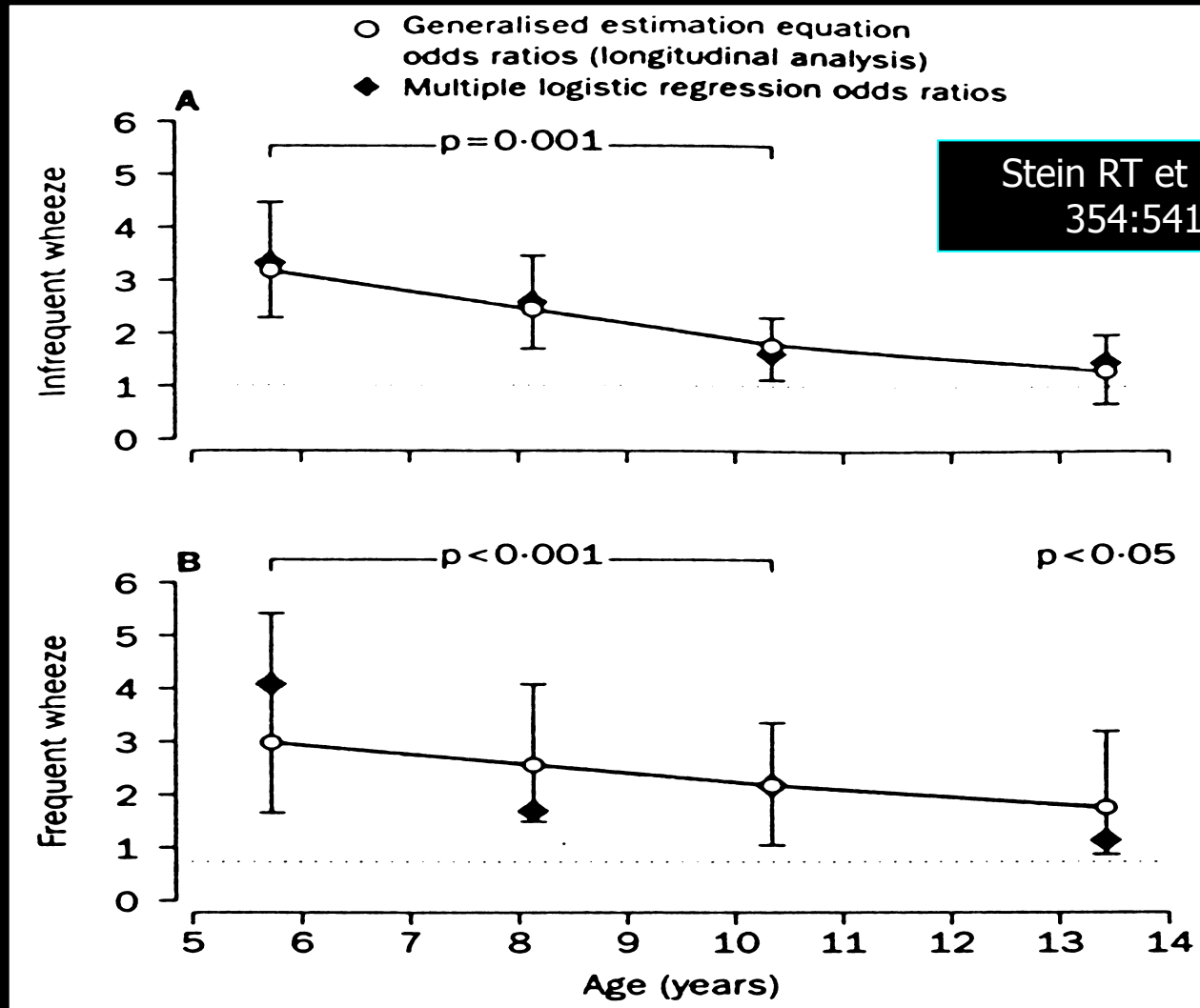
Wright, A.L. et al. Am.J.Epidemiol. 129:1232, 1996.

RSV

Respiratory Syncytial Virus Bronchiolitis in Infancy Is an Important Risk Factor for Asthma and Allergy at Age 7



RSV Infections and Recurrent Wheezing



Stein RT et al. Lancet
354:541, 1999

Viral Pathogens

other than

RSV

Prevalence of Common Common Respiratory Viral Infections

Common colds

1. Rhinoviruses
2. Coronaviruses (winter)
3. Parainfluenza viruses
4. Enteroviruses (summer)
5. Influenza A, B, C (winter)
6. RSV (winter)
7. Metapneumoviruses (winter)
8. Bocavirus (winter?)

Wheezing Infants

1. RSV (winter)
2. Rhinoviruses
3. MPV (winter)
4. Coronaviruses
5. Parainfluenza viruses
6. Influenza viruses
7. Adenoviruses
8. Bocavirus (winter?)

Rhinovirus

Viruses other than RSV: *Rhinovirus*

- RV infections leading to hospitalization during infancy were an early predictor of the subsequent development of asthma.

Kotaneimi-Syrjanen A. et al. JACI 111:66, 2003

- Significant association between wheezing outpatient RV (and RSV) illnesses in infancy and persistent wheezing at 5 years of age
 - These findings were restricted to those children with early allergic sensitization (≤ 2 yrs of age)
 - Multivariate analyses using other risk factors eliminated association with asthma

Kusel MM et al. JACI 119:1105, 2007



C O A S T

C h i l d h o o d

O r i g i n s o f

A S T h m a

COAST

Childhood Origins of ASThma

A prospective study in a high risk cohort designed to evaluate the interactions among age, patterns of immune dysfunction, and virus infections with respect to the subsequent development of asthma and allergic diseases

PI: Rob Lemanske, MD

Co-Is: Jim Gern, MD

Carole Ober, PhD

Ron Gangnon, PhD

Wai-Ming Lee, PhD

Kathy Roberg, RN, MS

Funded by the NHLBI

Research Design and Methods

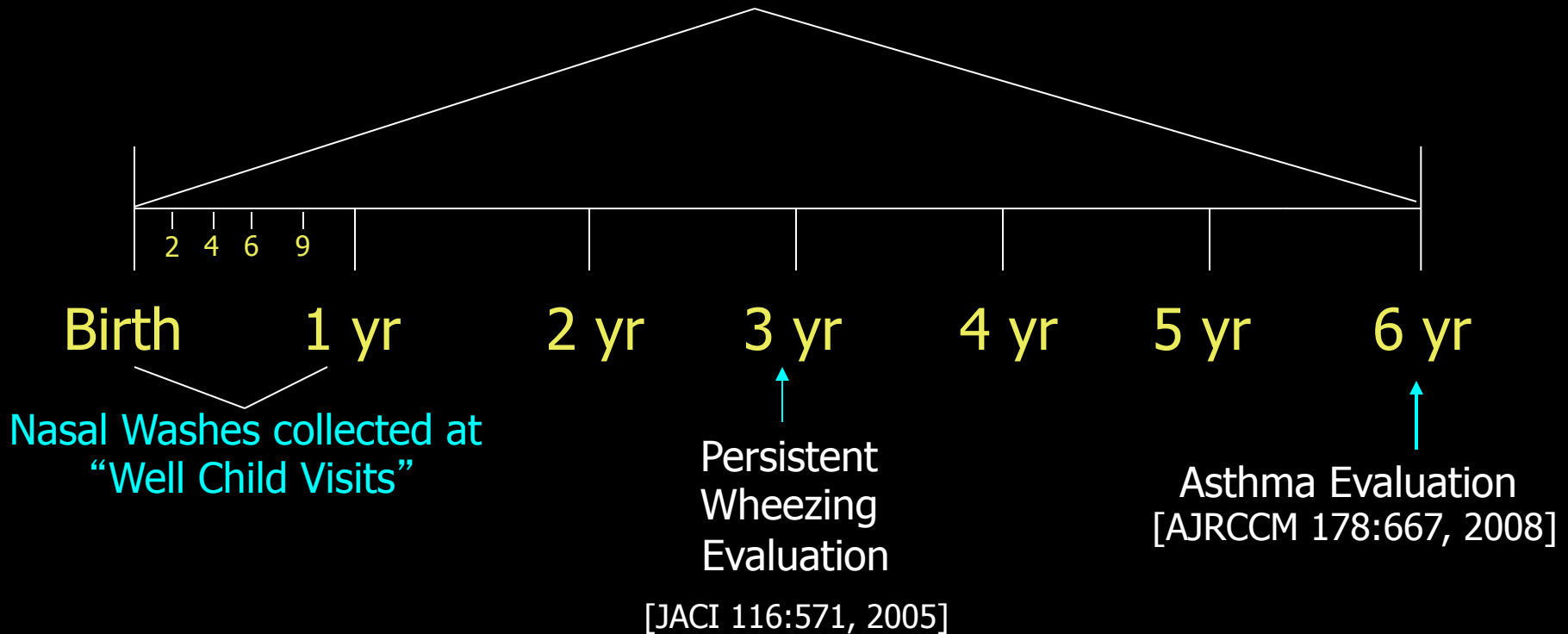


- Target enrollment: 300 families
- At least one parent with allergies or asthma
- Prospective (developmental) evaluation of
 - Immune system
 - Child (annually from birth) and parent
 - Cytokine response profiles; antigen-specific IgE
 - Respiratory infections (nasal aspirates)
 - Wheezing phenotypes (questionnaires)
 - Airway physiological evaluation (ages 4-7 yrs)
 - Impulse oscillometry, spirometry, eNO, meth. challenge
 - Environmental evaluation (diet, allergens, pets)
 - Genotype evaluation
- Minimum 12-14 year follow-up

COAST Evaluations



Nasal lavage specimens collected
at symptomatic illnesses



Timing, severity & etiology of respiratory illnesses determined throughout childhood



Risk Factors for Third Year Wheezing

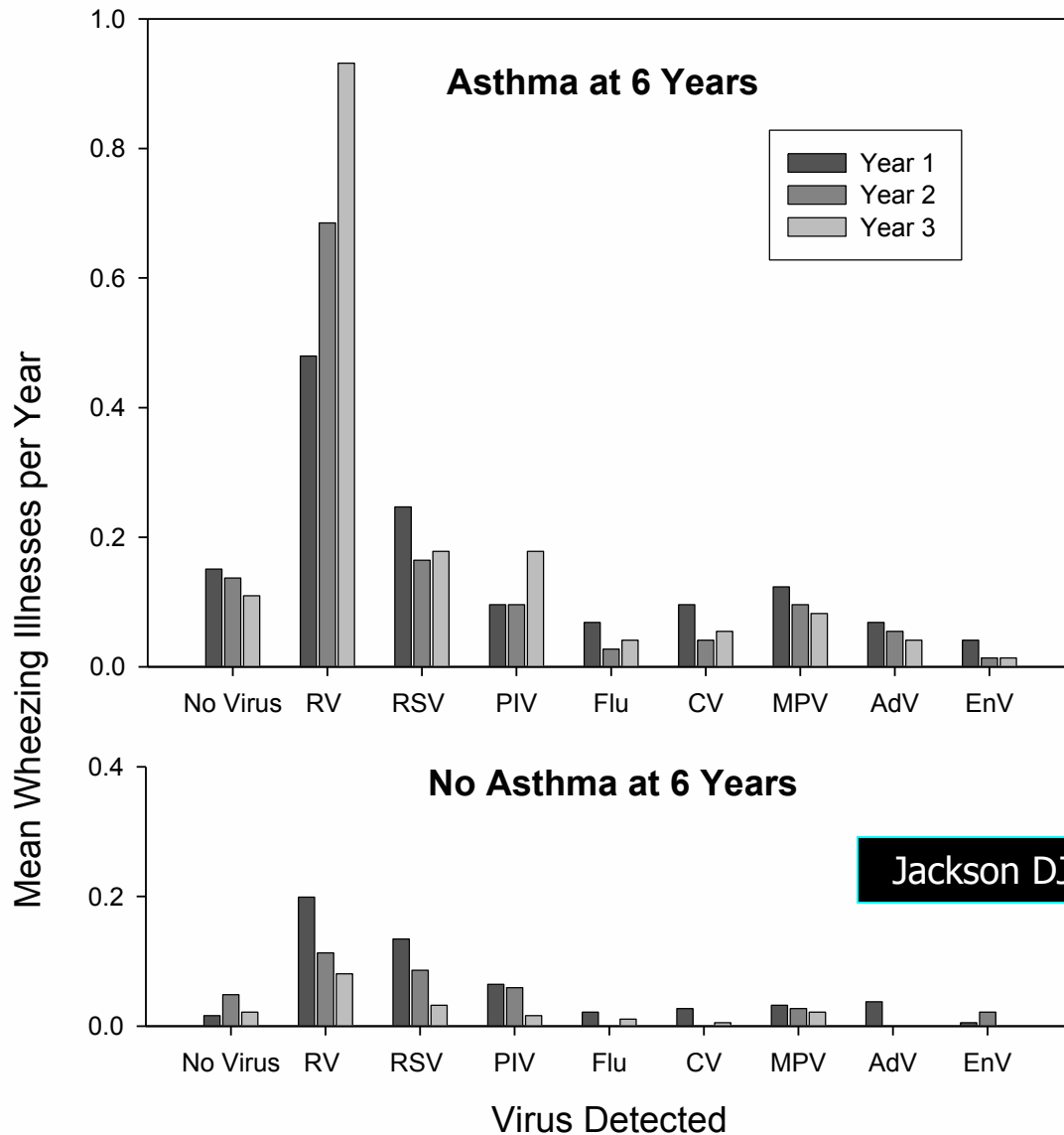
- Passive smoke exposure (OR=2.1)
- Older siblings (OR=2.5)
- Allergic sensitization to food protein at age 1 year (OR=2.0)
- Any moderate to severe respiratory illness without wheezing during infancy (OR=3.6)
- At least one wheezing illness during infancy with:
 - RSV (OR=3.0)
 - Non RV/RSV pathogens (OR=3.9) during infancy
 - Rhinovirus (RV, OR=10)
- *When viral etiology was considered, first-year wheezing illnesses caused by RV infection were the strongest predictor of subsequent third year wheezing (OR = 6.6; $p < 0.0001$).*

**What viral infections
in early life are
associated with the
development of
asthma at age 6
years?**

Etiology of Wheezing Illnesses in Early Childhood



COAST
Childhood
Origins of
ASThma



RV Rhinovirus
RSV Respiratory syncytial virus
PIV Parainfluenza
Flu Influenza
CV Coronavirus
MPV Metapneumovirus
AdV Adenovirus
EnV Enterovirus

Jackson DJ et al. AJRCCM, 178:667, 2008

**Did RV or RSV
wheezing illnesses
during years 1-3
impact the risk of
asthma at age 6?**

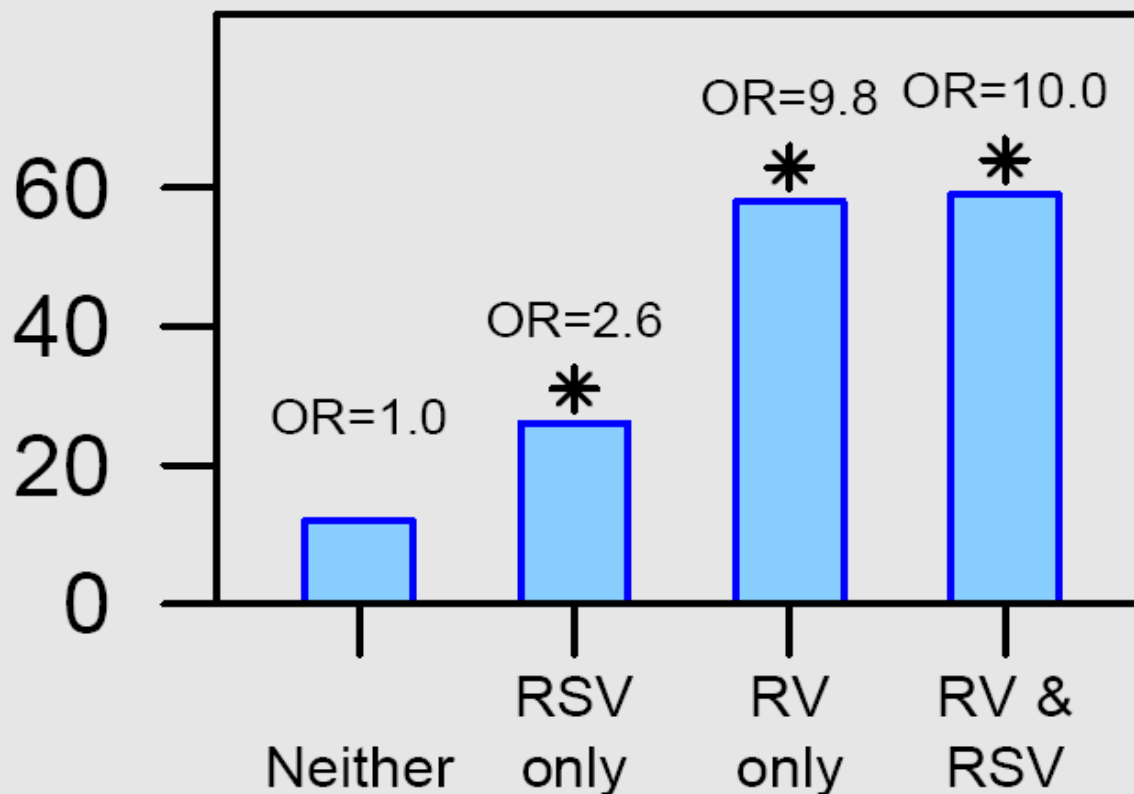
RV Wheezing vs. RSV Wheezing in First 3 Years and Asthma at Age 6 Years



COAST
Childhood
Origins of
ASThma

Asthma at 6 Years (%)

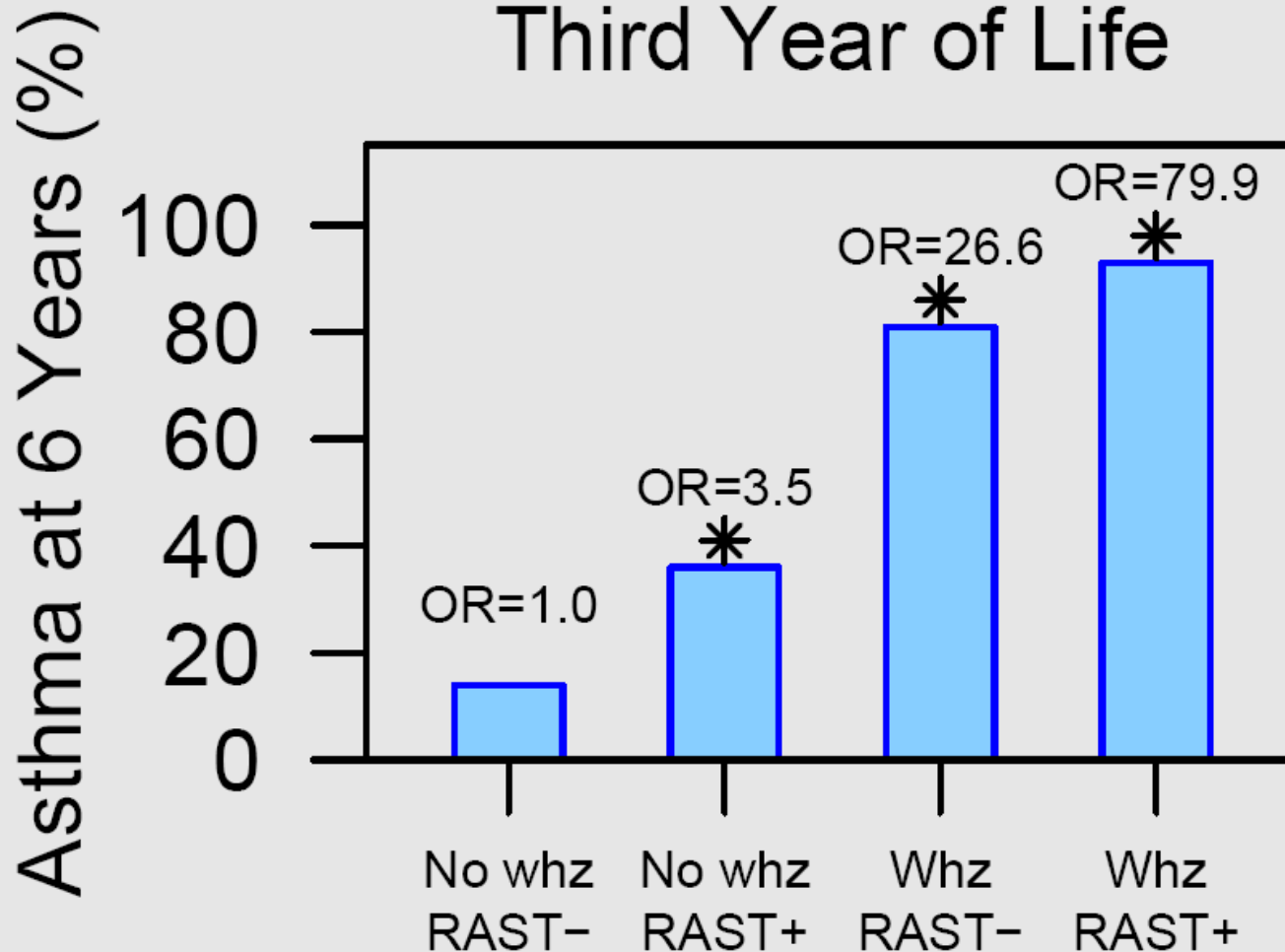
First 3 Years of Life



RV Wheezing & Allergic Sensitization in Year 3 and Asthma at Age 6 Years



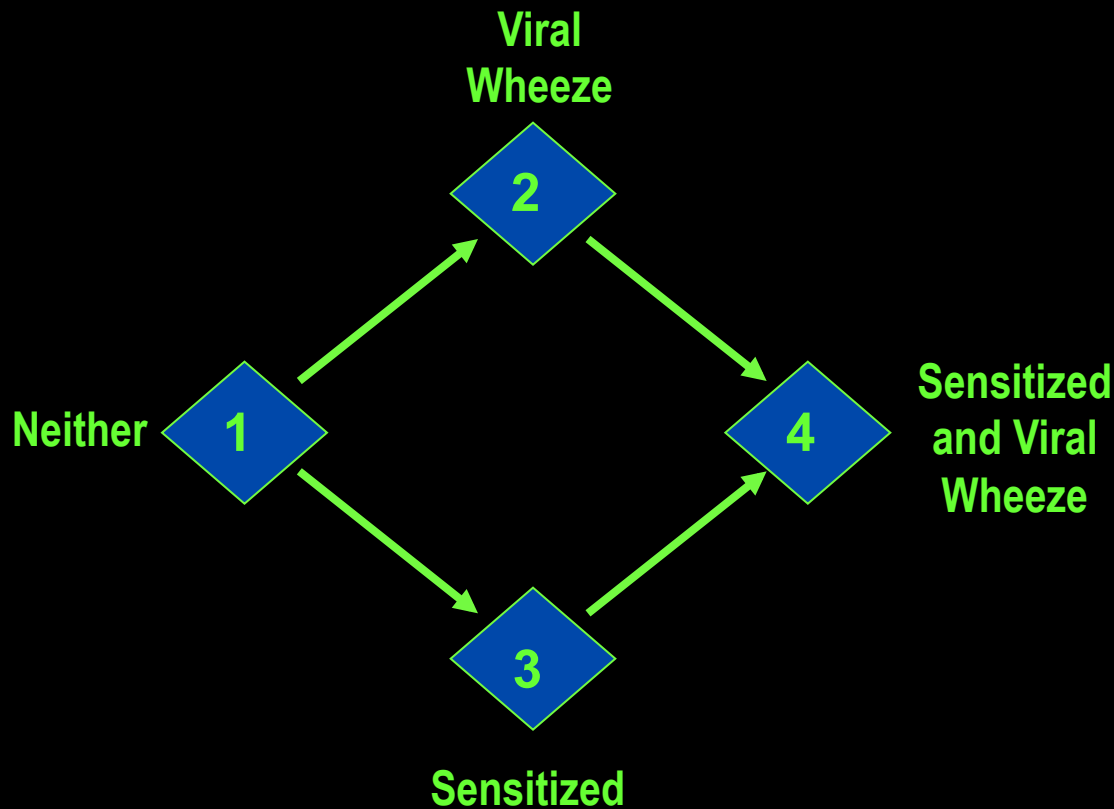
COAST
Childhood
Origins of
ASThma



**Which comes
first?**

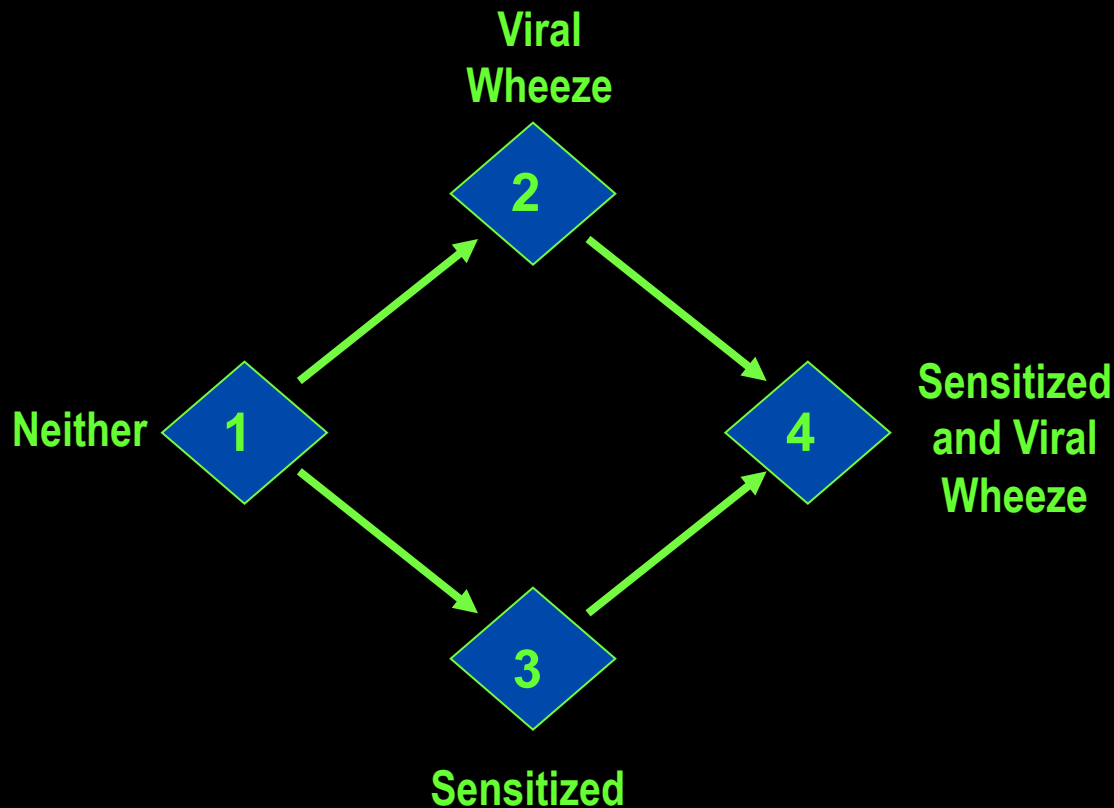
**Allergic
sensitization or
wheezing
illnesses?**

Does sensitization lead to viral wheezing, or does viral wheezing lead to sensitization?



- COAST cohort
- Ages 0 – 6 yrs
- Does sensitization lead to viral wheezing, or does viral wheezing lead to sensitization?
- Analysis of transitions between 4 states.

Does sensitization lead to viral wheezing, or does viral wheezing lead to sensitization?



If viral wheeze causes sensitization:

$$2 \rightarrow 4 > 1 \rightarrow 3$$

If sensitization causes viral wheeze:

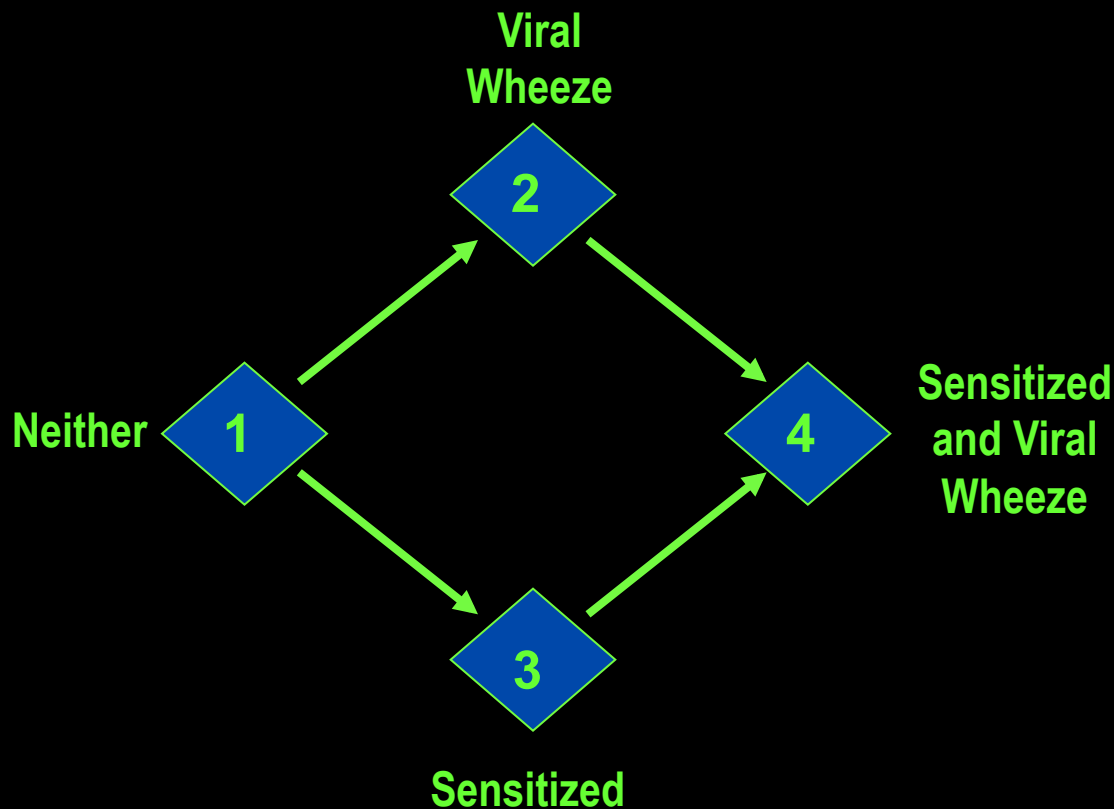
$$3 \rightarrow 4 > 1 \rightarrow 2$$

No causality:

$$2 \rightarrow 4 = 1 \rightarrow 3$$

$$3 \rightarrow 4 = 1 \rightarrow 2$$

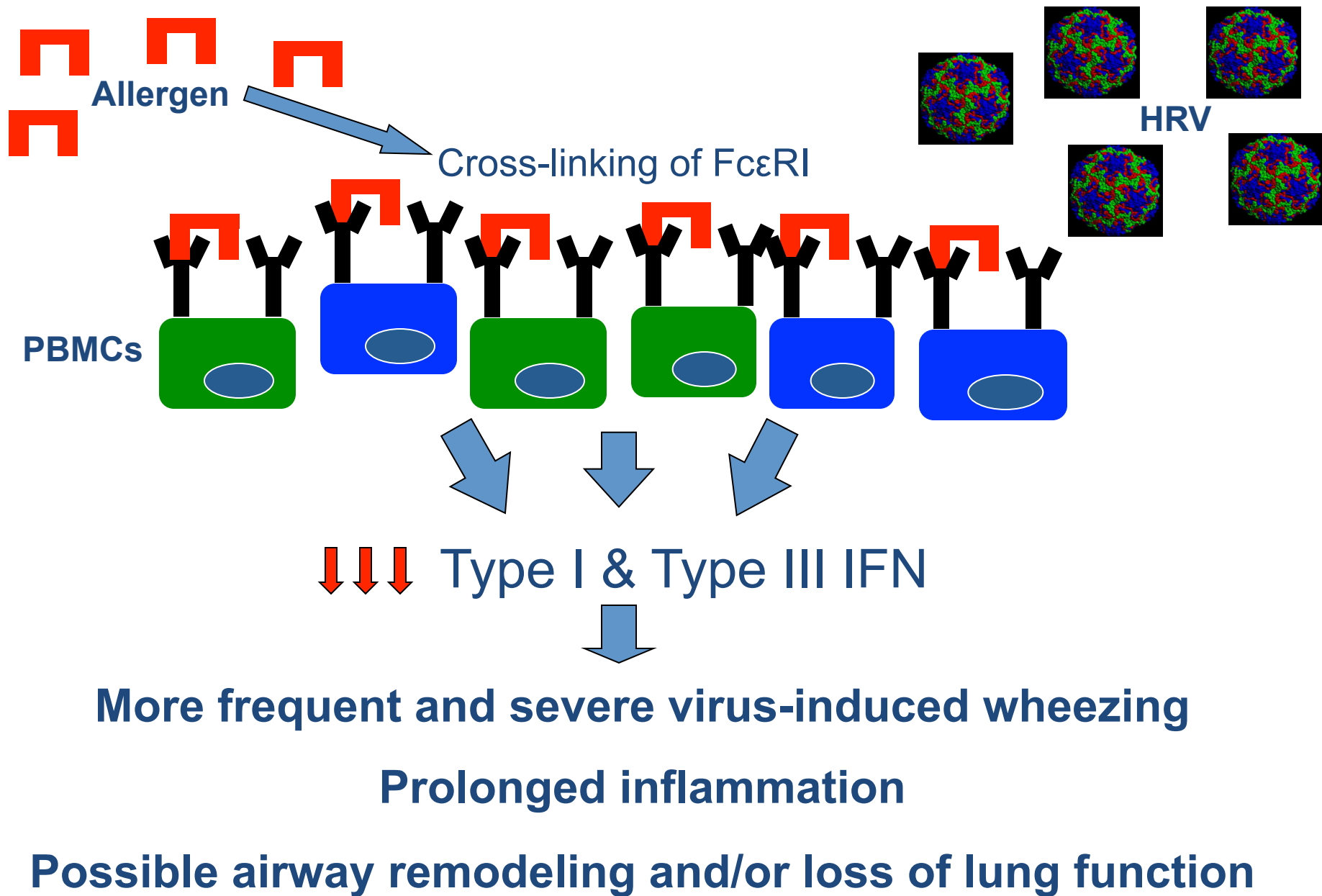
Sensitization Leads to Viral Wheeze (the reverse does not appear to be true)



Virus	Ratio	
	$\frac{3 \rightarrow 4}{1 \rightarrow 2}$	$\frac{2 \rightarrow 4}{1 \rightarrow 3}$
Any	1.9* (1.2, 3.1)	0.75 (0.49, 1.1)
HRV	2.4* (1.4, 4.3)	0.69 (0.41, 1.2)
RSV	1.6 (0.9, 2.9)	0.8 (0.52, 1.3)

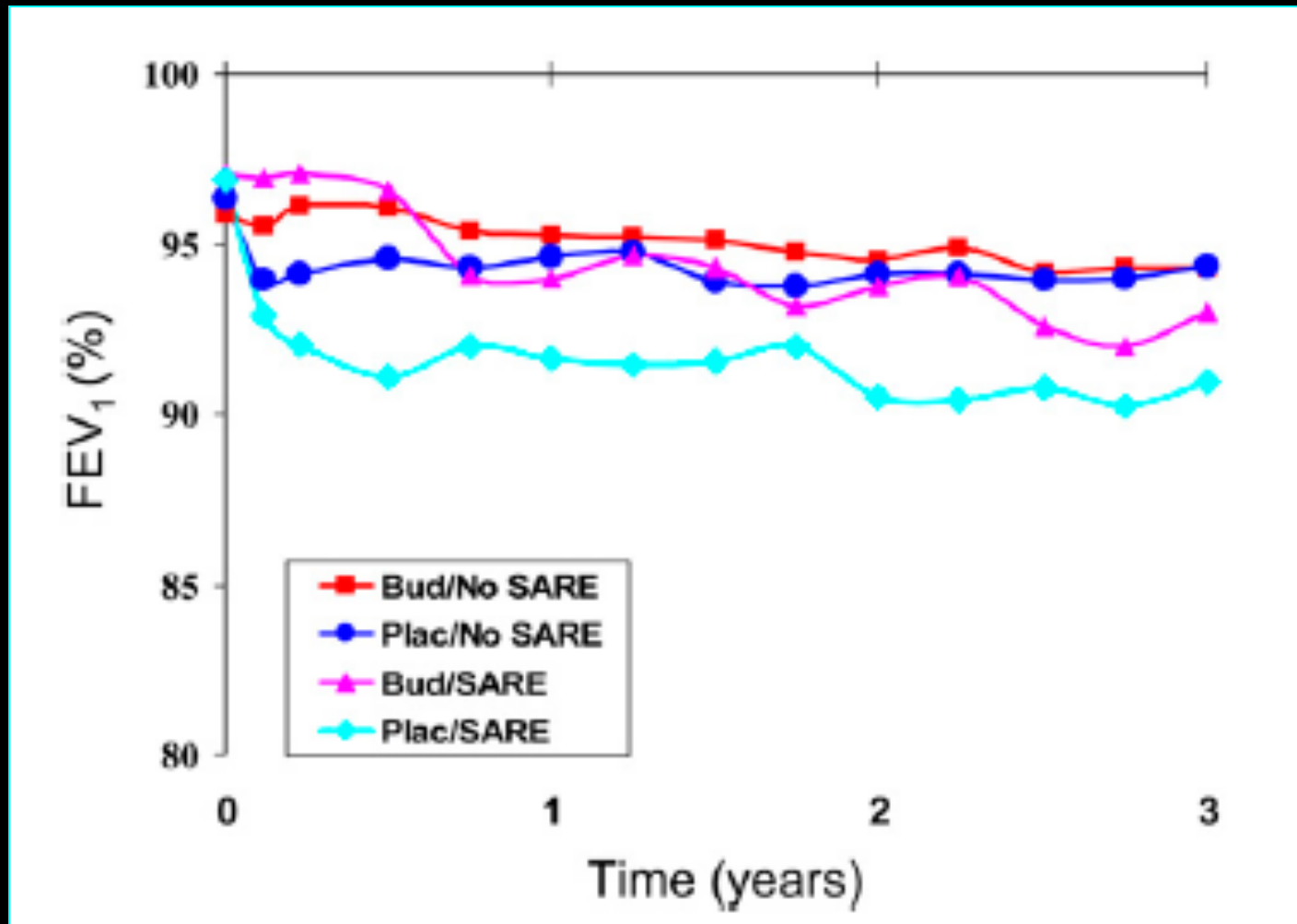
How does allergic sensitization alter the host response to viral respiratory infections?

Hypothesis: Allergy Inhibits Innate Immune Responses

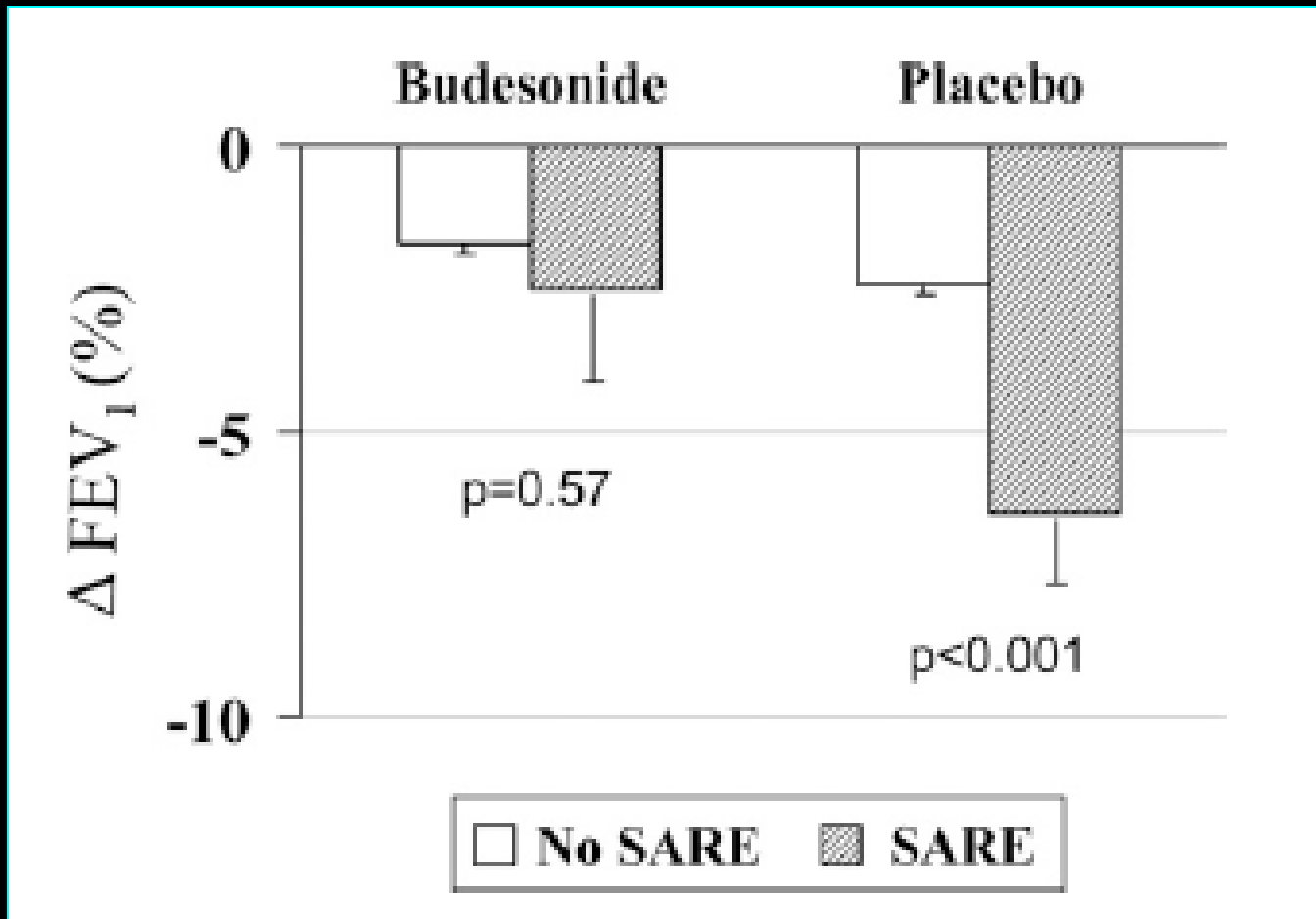


**Do wheezing RV
infections in
early life
influence
subsequent lung
function?**

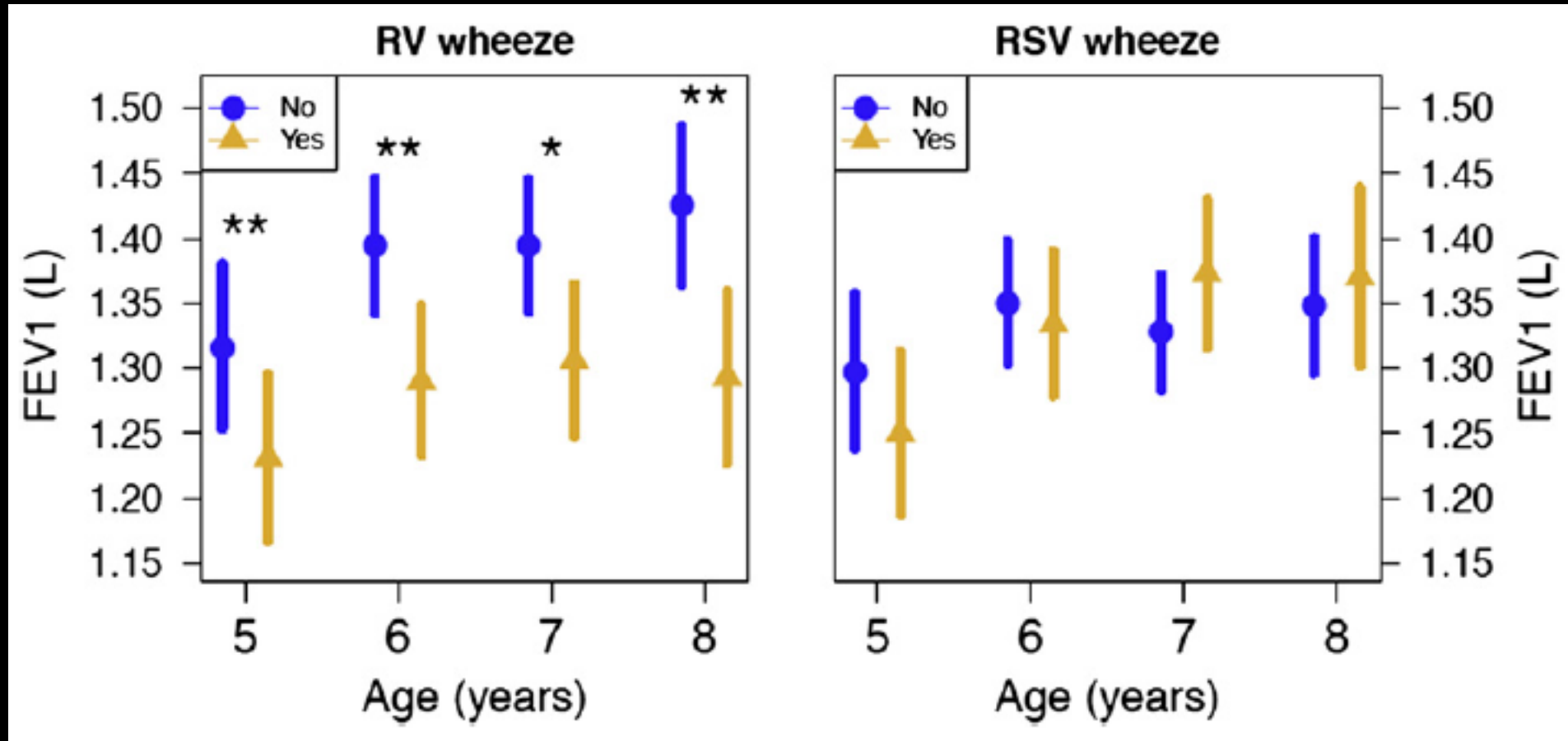
Effect of Treatment on SARE-related Changes in Post-bronchodilator FEV₁



Mean 3 Year Change in Post-bronchodilator FEV₁

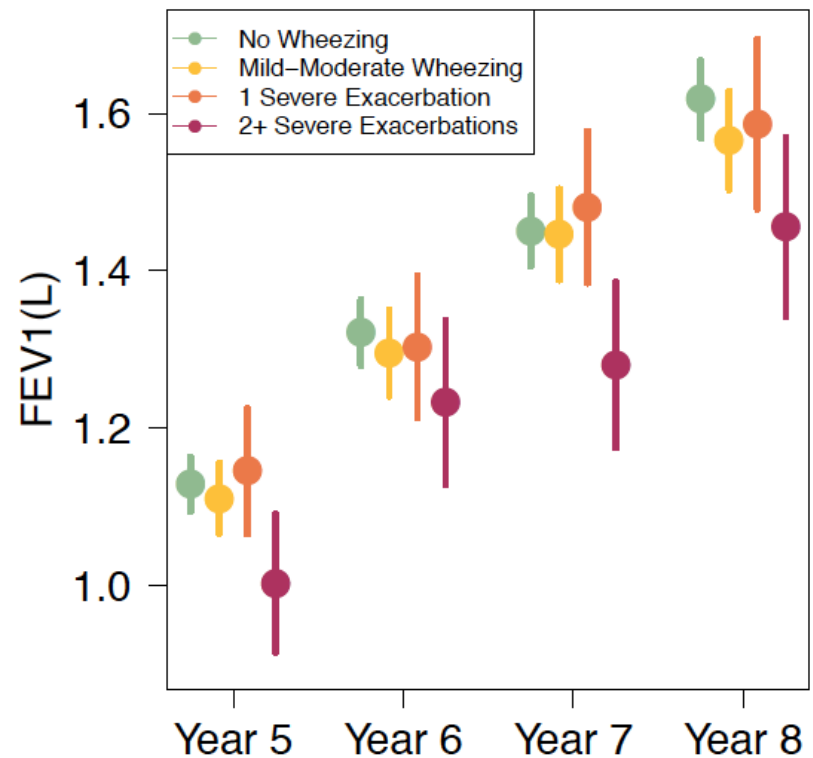
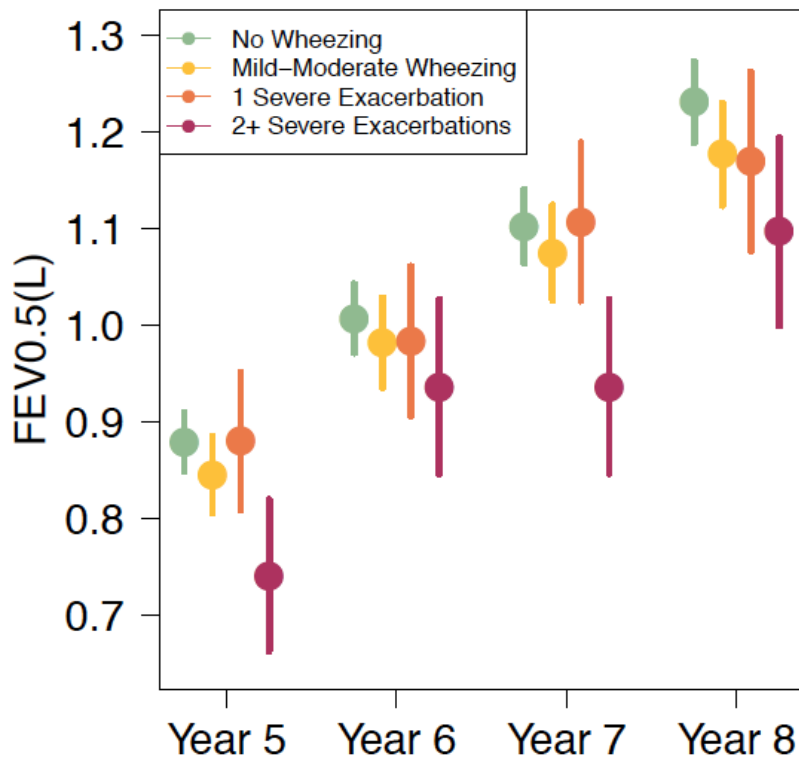


Influence of Viral Etiology for Wheezing on Lung Function



Effects of Asthma Exacerbation Severity on Lung Function

Pre-bronchodilator Spirometry



Mechanisms

**Do wheezing RV
infections in
early life *cause*
asthma?**

Host Factors

↓ antiviral responses

↓ lung function

Genetic polymorphisms



Asthma



*Abnormal
Host*



Mechanisms

- Airway epithelial cells¹
 - Normal: apoptosis
 - Asthma: viral replication
- Immune dysregulation¹⁻⁴
 - Altered innate immune responses
 - Type 1-3 interferons (α , β , γ , λ)
 - Fc ϵ R1 numbers and bridging on antigen-presenting cells⁴
- Genetic polymorphisms⁵
 - CD14_159 and Toll 3 receptors

1. Contoli M et al. Nat Med 12:1023, 2006

2. Wark PA et al. J Exp Med 201:937, 2005

3. Copenhaver CC et al. AJRCCM 170:175, 2004

4. Gill M et al. JI 184:5999, 2010

5. Hewson CA et al. J Virol 79:12273, 2005

6. Martin AC et al. AJRCCM 173:617, 2006



Normal
Host



Pathologic
Virus



Asthma

Virus Factors

Lung/Airway damage

Virulent strains?

Virus Factors: Rhinovirus

- Rhinoviruses are the most prevalent human pathogen
- May produce a range of respiratory tract illnesses
- Seasonal: early fall and late spring in temperate climates
- Until recently, 101 strains identified and categorized genetically into 2 groups: A and B
- Recently, a new Group C has been identified
- Virulence patterns currently under investigation

HRV-C and Asthma Exacerbations

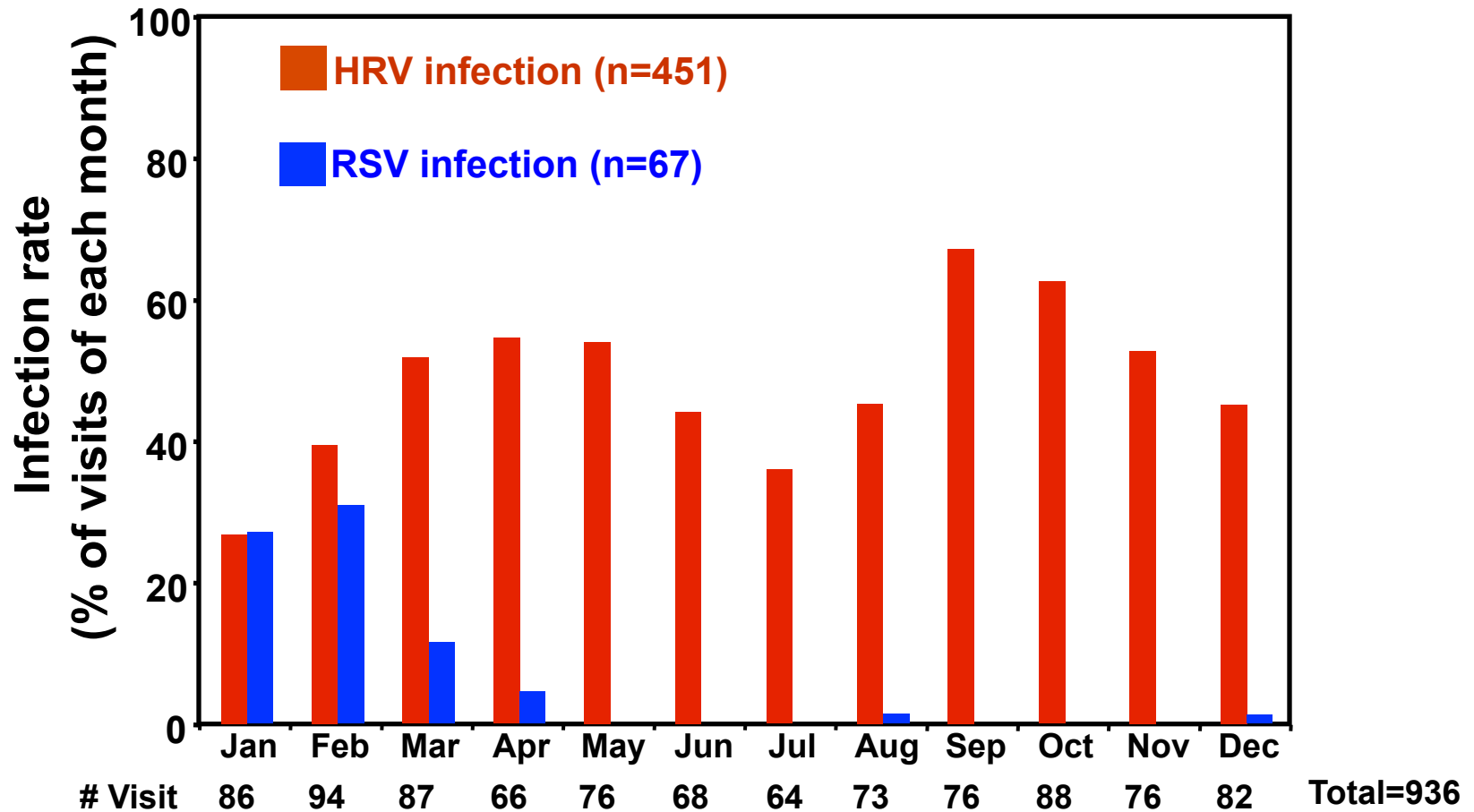
- Prospective population-based surveillance¹
 - Nashville TN and Rochester NY
 - 1052 children age <5 yrs hospitalized with ARI or fever
 - HRV-C vs. HRV-A:
 - ↑ discharge diagnosis of asthma (55% vs 36%, $P = .022$)
- ED Asthma Study (2-16 y/o)²
 - Perth, Australia
 - HRV C detected in 59% of children:
 - ↑ severity in HRV C vs. A or B

1 Miller EK et al. JACI 2009

2 Bizzintino J et al. ERJ 2011

**HRV infections
and illnesses
in COAST
during infancy**

HRV infection of infant occurs year-round.

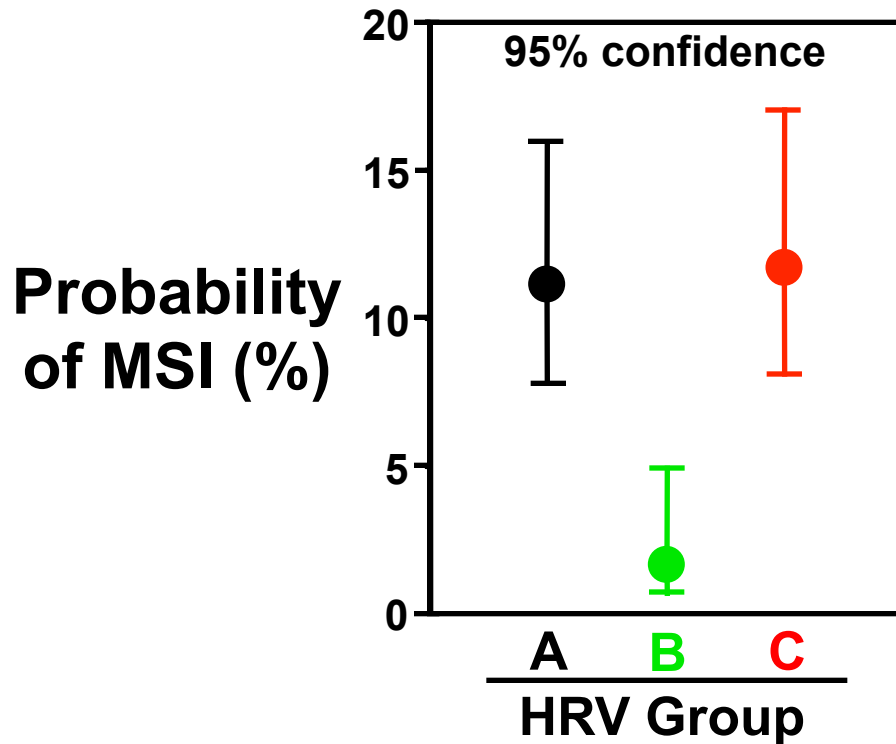


HRV Strain Virulence

The probability of inducing MSI is similar for HRVA & HRVC infections that is significantly higher than that of HRVB

367 HRV only infection

MSI = Moderate-Severe Illness



Genetic Factor

Atopy
(Immune Dysregulation)
(Innate immunity)
(Interferons)

Environmental Factors

Viral LRIs
(RV and RSV)

Developmental Component

PERSISTENT WHEEZING

ASTHMA

COAST Personnel



COAST
Childhood
Origins of
ASThma

Robert F. Lemanske, Jr., M.D.

James E. Gern, M.D.

Carole Ober, Ph.D.

Ronald Gangnon, Ph.D.

Kathy Roberg, R.N., M.S.

Wai-Ming Lee, Ph.D.

Beth Anderson, B.S.N., M.A.

Michael Evans, M.S.

Douglas DaSilva, B.S.

Lisa Salazar, B.A.

Christopher Tisler, M.T.

Tressa Pappas, B.S.

Chris Kleppe, M.S.

Kat Sullivan Dillie, Ph.D.

Fue Vang, M.S.

Woo Kyung Kim, M.D., PhD.

Kate Shanovich, B.A.

Nicholas Hallett, B.S.

Michael Possin, B.S.

Rochelle Grabher, B.S.

Christine Seroogy, M.D.

Kristjan Burmeister, B.S.

Tuomas Jartti, M.D.

Theresa Guilbert, M.D.

Kirstin Carlson-Dakes, R.N. M.Ed.

Sarah Sund, M.T.

Kristine Grindle, B.S.

HuiChuan Lai, Ph.D., R.D.

Zhumin Zhang, M.S.

Suzanne Shoff, M.S. R.D.

Lisa Davis, M.S. R.D.

Peter Shult, Ph.D.

Eric Reisdorf, B.S.

Sam Friedlander, M.D.

Jeremy Bufford, M.D.

Anne Marie Singh, M.D.

Christine Virnig, M.D.

Dan Jackson, M.D.

Jack Bork, B.S.

Gemma Gliori, M.S.